

Confirmation of verification of identity - Corporate clients

Introduction by an FCA-regulated firm

Before you start

A separate confirmation must be completed for each customer. Where a third party is involved – eg. when a firm paying the premium is not the customer - the identity of that firm must also be verified and a confirmation provided.

This form cannot be used to verify the identity of any customer that falls into one of the following categories.

- Those who are exempt from verification because they were an existing client of the introducing company before these verification requirements were introduced
- Those who have been subject to Simplified Due Diligence under the Money Laundering regulations.
- Those whose identity has been verified using the source of funds as evidence

Part 1 - Customer details

Customer's full name:

Customer type (corporate/trust/partnership etc.):

Business' full operating address:

Postcode:

Registered office in country of incorporation:

Postcode:

Registered number (if any - company number, charity number etc.):

Regulating organisation (if appropriate):

Directors' names (or equivalent position) **and dates of birth if known:**

Principal beneficial owners' names (where ownership is over 25%) **and dates of birth if known:**

Please turn over to complete the form

Part 2 - Confirmation

This confirms that I/we obtained the customer information in part 1 and the evidence used to verify the customer's identity:

- meets the UK Financial Sector guidance for standard evidence set out by the Joint Money Laundering Steering Group (JMLSG)
- or**
- exceeds the standard evidence (written details of the evidence obtained is attached to this form)

Tick one box only

Signed:

Name:

Position:

Date:

Part 3 - Details of introducing firm or sole trader

Full name of regulated firm/sole trader:

FCA reference number: